

# ON LEARNING LIMITING PROGRAMS\*

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## Abstract

Machine learning of *limit programs* (i.e., programs allowed finitely many mind changes about their legitimate outputs) for *computable* functions is studied. Learning of *iterated* limit programs is also studied. To partially motivate these studies, it is shown that, in some cases, interesting global properties of computable functions can be proved from suitable  $(n + 1)$ -iterated limit programs for them which can *not* be proved from *any*  $n$ -iterated limit programs for them. It is shown that learning power is increased when  $(n + 1)$ -iterated limit programs rather than  $n$ -iterated limit programs are to be learned. Many trade-off results are obtained regarding learning power, number (possibly zero) of limits taken, program size constraints and information, and number of errors tolerated in final programs learned.

*Keywords:* Machine Learning; Limiting Programs; Recursion Theory.

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# 1 Preliminaries

## 1.1 Notation

Any unexplained recursion theoretic notation is from [33].  $N$  denotes the set of natural numbers,  $\{0, 1, 2, 3, \dots\}$ . Unless otherwise specified,  $c, e, i, j, k, l, m, n, r, s, t, u, v, w, x, y, z$ , with or without decorations<sup>a</sup>, range over  $N$ .  $*$  denotes a non-member of  $N$  and is assumed to satisfy  $(\forall n)[n < * < \infty]$ .  $a$  and  $b$  with or without decorations, range over  $N \cup \{*\}$ .  $\emptyset$  denotes the empty set.  $\subseteq$  denotes subset.  $\subset$  denotes proper subset. For  $S$ , a subset of  $N$ ,  $\text{card}(S)$  denotes the cardinality of  $S$ .  $\uparrow$  denotes undefined.  $\max(\cdot), \min(\cdot)$  denote the maximum and minimum of a set, respectively, where  $\max(\emptyset) = 0$  and  $\min(\emptyset) = \uparrow$ .

$\eta$  and  $\theta$  range over *partial* functions with arguments and values from  $N$ .  $\eta(x)\downarrow$  denotes that  $\eta(x)$  is defined;  $\eta(x)\uparrow$  denotes that  $\eta(x)$  is undefined.

$f, g, h, p, q, F$  and  $G$  with or without decorations range over *total* functions with arguments and values from  $N$ . For  $n \in N$  and partial functions  $\eta$  and  $\theta$ ,  $\eta =^n \theta$  means that  $\text{card}(\{x \mid \eta(x) \neq \theta(x)\}) \leq n$ ;  $\eta =^* \theta$  means that  $\text{card}(\{x \mid \eta(x) \neq \theta(x)\})$  is finite.  $\text{domain}(\eta)$  and  $\text{range}(\eta)$  denote the domain and range of the function  $\eta$ , respectively.

We say that  $\eta$  is *monotone*  $\stackrel{\text{def}}{\iff} (\forall x, y \mid x < y)[\eta(x)\downarrow < \eta(y)\downarrow]$ . Thus  $\eta$  is monotone iff it is a strictly increasing *total* function.

$\langle i, j \rangle$  stands for an arbitrary, computable, one-to-one encoding of all pairs of natural numbers onto  $N$  [33] (we assume that  $\langle i, j \rangle \geq \max(\{i, j\})$  and that  $\langle \cdot, \cdot \rangle$  is increasing in both its arguments).  $\pi_1$  and  $\pi_2$  denote the corresponding, computable inverses: for all  $x, y$ ,  $\pi_1(\langle x, y \rangle) = x$  and  $\pi_2(\langle x, y \rangle) = y$ . Similarly we can define  $\langle \cdot, \dots, \cdot \rangle$  for encoding multiple natural numbers onto  $N$ .

$\varphi$  denotes a fixed *acceptable* programming system for the partial computable functions:  $N \rightarrow N$  [32, 33, 25]. (Case showed the acceptable systems are *characterized* as those in which every control structure can be constructed; Royer and later Marcoux examined complexity analogs of this characterization [30, 31, 34, 26].)  $\varphi_i$  denotes the partial computable function computed by program  $i$  in the  $\varphi$ -system.  $\Phi$  denotes an arbitrary Blum complexity measure [5, 21] for the  $\varphi$ -system. The set of all total recursive functions of one variable is denoted by  $\mathcal{R}$ .  $\mathcal{C}$ , with or without decorations, ranges over subsets of  $\mathcal{R}$ .  $\text{MinProg}^a(f)$  denotes  $\min(\{i \mid \varphi_i =^a f\})$ . For  $a = 0$ , we often drop 0 in  $\text{MinProg}^0(f)$ , i.e.,  $\text{MinProg}(f) = \text{MinProg}^0(f)$ .

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<sup>a</sup>Decorations are subscripts, superscripts and the like.

We sometimes consider partial computable functions with multiple arguments in the  $\varphi$  system. In such cases we implicitly assume that a  $\langle \cdot, \dots, \cdot \rangle$  is used to code the arguments, so, for example,  $\varphi_i(x, y)$  stands for  $\varphi_i(\langle x, y \rangle)$ .

A function  $f$  is said to be the *zero-extension* of  $\eta$   $\stackrel{\text{def}}{\iff}$

$$f(x) = \begin{cases} \eta(x) & x \in \text{domain}(\eta); \\ 0 & \text{otherwise.} \end{cases}$$

**EvtlyConst** denotes the set of eventually constant functions, i.e.,  $\{f \mid (\exists c, n)(\forall x > n)[f(x) = c]\}$ .

The quantifiers ‘ $\forall^\infty$ ’ and ‘ $\exists^\infty$ ’, essentially from [5], mean ‘for all but finitely many’ and ‘there exist infinitely many’, respectively.

## 1.2 Fundamental Function Inference Paradigms

An *Inductive Inference Machine* (IIM) [20] is an algorithmic device which takes as its input a set of data given one element at a time, and which from time to time, as it is receiving its input, outputs programs. IIMs have been used in the study of machine identification of programs for computable functions as well as algorithmic learning of grammars for languages [4, 10, 11, 18, 20, 28, 40].<sup>b</sup> For a survey of this work see [1, 28, 23, 7].

$\mathbf{M}$ , with or without decorations, ranges over the class of inductive inference machines. For inference of a computable function  $f$  by an IIM  $\mathbf{M}$ , the graph of  $f$  is fed to  $\mathbf{M}$  in any order. Without loss of generality [4, 10], we will assume that  $\mathbf{M}$  is fed the graph of  $f$  in the sequence  $(0, f(0)), (1, f(1)), (2, f(2)), \dots$ . For all computable functions  $f$ ,  $f[n]$  denotes the finite initial segment  $((0, f(0)), (1, f(1)), \dots, (n-1, f(n-1)))$ . Let  $\text{INIT} = \{f[n] \mid f \in \mathcal{R} \wedge n \in \mathbb{N}\}$ . Variables  $\sigma$  and  $\tau$ , with or without decorations, range over  $\text{INIT}$ .  $|\tau|$  denotes the number of elements in  $\tau$ . Thus  $|f[n]| = n$ .  $\text{content}(\tau)$  denotes the set of pairs in the range of  $\tau$ . Thus  $\text{content}(f[n]) = \{(i, f(i)) \mid i < n\}$ .  $\mathbf{M}(\sigma)$  is the last output of  $\mathbf{M}$  by the time it has received input  $\sigma$ . We will assume, without loss of generality, that  $\mathbf{M}(\sigma)$  is always defined. We say that  $\mathbf{M}(f)$  converges to  $i$  (written:  $\mathbf{M}(f)\downarrow = i$ ) iff  $(\forall^\infty n)[\mathbf{M}(f[n]) = i]$ ;  $\mathbf{M}(f)$  is undefined if no such  $i$  exists.

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<sup>b</sup>The separation, but not the strong separation results in the present paper go through for language learning. We have not yet investigated language learning analogs of most of our other results.

**Definition 1** [20, 4, 10] Suppose  $a \in N \cup \{*\}$ .

- (a)  $\mathbf{M} \mathbf{Ex}^a$ -*identifies* a computable function  $f \in \mathcal{R}$  (written:  $f \in \mathbf{Ex}^a(\mathbf{M})$ ) iff both  $\mathbf{M}(f) \downarrow$  and  $\varphi_{\mathbf{M}(f)} =^a f$ .
- (b)  $\mathbf{Ex}^a = \{\mathcal{C} \subseteq \mathcal{R} \mid (\exists \mathbf{M})[\mathcal{C} \subseteq \mathbf{Ex}^a(\mathbf{M})]\}$ .

Case and Smith [10] introduced another infinite hierarchy of identification criteria which we describe below. “**Bc**” stands for *behaviorally correct*. Barzdin [3] essentially introduced the notion of  $\mathbf{Bc}^0$ .

**Definition 2** [10] Suppose  $a \in N \cup \{*\}$ .

- (a)  $\mathbf{M} \mathbf{Bc}^a$ -*identifies* a computable function  $f \in \mathcal{R}$  (written:  $f \in \mathbf{Bc}^a(\mathbf{M})$ ) iff  $(\forall n)[\varphi_{\mathbf{M}(f[n])} =^a f]$ .
- (b)  $\mathbf{Bc}^a = \{\mathcal{C} \subseteq \mathcal{R} \mid (\exists \mathbf{M})[\mathcal{C} \subseteq \mathbf{Bc}^a(\mathbf{M})]\}$ .

We usually write  $\mathbf{Ex}$  for  $\mathbf{Ex}^0$  and  $\mathbf{Bc}$  for  $\mathbf{Bc}^0$ . Theorem 3 just below states some of the basic hierarchy results about the  $\mathbf{Ex}^a$  and  $\mathbf{Bc}^a$  classes.

**Theorem 3** For all  $n \in N$ ,

- (a)  $\mathbf{Ex}^n \subset \mathbf{Ex}^{n+1}$ ,
- (b)  $\bigcup_{n \in N} \mathbf{Ex}^n \subset \mathbf{Ex}^*$ ,
- (c)  $\mathbf{Ex}^* \subset \mathbf{Bc}$ ,
- (d)  $\mathbf{Bc}^n \subset \mathbf{Bc}^{n+1}$ ,
- (e)  $\bigcup_{n \in N} \mathbf{Bc}^n \subset \mathbf{Bc}^*$ , and
- (f)  $\mathcal{R} \in \mathbf{Bc}^*$ .

Parts (a), (b), (d), and (e) are due to Case and Smith [10]. John Steel first observed that  $\mathbf{Ex}^* \subseteq \mathbf{Bc}$  and diagonalization in part (c) is due to Harrington and Case [10]. Part (f) is due to Harrington [10]. Blum and Blum [4] first showed that  $\mathbf{Ex} \subset \mathbf{Ex}^*$ . Barzdin [3] independently showed  $\mathbf{Ex} \subset \mathbf{Bc}$ .

## 2 Limiting Programs

### 2.1 Definition and Motivation of Limiting Programs

For each  $i$ , consider the following corresponding procedure for “computing” a (partial) function  $\varphi_i^1$ .

On input  $x$

**for**  $t = 0$  **to**  $\infty$

Start a new clone of  $\varphi$ -program  $i$  running on input  $(x, t)$

**endfor**

It is to be understood that

1. each iterate of the **for**-loop finishes since it merely *starts* a process running and
2. in some iterates of the **for**-loop the process *started* may itself never converge.

$\varphi_i^1(x) \stackrel{\text{def}}{=} \text{the unique } y \text{ (if any) eventually output by all but finitely many of the clones of } \varphi\text{-program } i \text{ in the } \mathbf{for}\text{-loop above. Equivalently, } \varphi_i^1(x) \stackrel{\text{def}}{=} \lim_{t \rightarrow \infty} \varphi_i(x, t).$

We shall refer to  $i$  as **Lim**<sup>1</sup>-program  $i$  (in the  $\varphi^1$ -system) when we are thinking of  $i$  as encoding the **for**-loop above rather than as encoding  $\varphi$ -program  $i$ .

Intuitively, **Lim**<sup>1</sup>-program  $i$  (in the  $\varphi^1$ -system) is a procedure, which on an input for which it has an output, is allowed to change its mind finitely many times about that output (or even about whether to output at all). N.B. there may be no algorithm for signaling when a **Lim**<sup>1</sup>-program has stopped changing its mind about its output.

The partial functions which are the limit of some *total* computable function are well known to be characterized as exactly the partial functions computable relative to an oracle for the halting problem [37, 29, 19, 38, 39].<sup>c</sup> This result and its relativizations were first noticed and used by Post [36] and have been employed (sometimes with rediscovery) many times. [24] studied acceptable programming systems for partial functions computable relative to oracles. Most of the results of this paper would hold also for such programming systems, but we will present our results directly about systems such as  $\varphi^1$ .

The creation of clones as in **Lim**<sup>1</sup>-program  $i$  above can be iterated. This motivates the following.

$$\varphi_i^n(x) \stackrel{\text{def}}{=} \lim_{t_1 \rightarrow \infty} \lim_{t_2 \rightarrow \infty} \dots \lim_{t_n \rightarrow \infty} \varphi_i(x, t_1, t_2, \dots, t_n)$$

We will sometimes say that  $i$  is a **Lim** <sup>$n$</sup> -program for  $\varphi_i^n$ .

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<sup>c</sup>The class of partial functions which are the limit of some *partial* recursive function, i.e.,  $\{\varphi_i^1 \mid i \in N\}$ , is a larger class than the class of partial functions computable in the halting problem.

In this paper we shall be interested in  $\mathbf{Lim}^n$ -programs (from the  $\varphi_i^n$  system) which happen to compute *partial computable* functions! We study the learning of  $\mathbf{Lim}^n$ -programs for *computable* functions. The  $n = 0$  case is merely the special case studied previously in the literature. We are interested in, among other things, the tradeoffs between the size of  $n$  and the resultant ability to learn large classes of computable functions. We shall see that, many times, larger classes can be learned for  $n + 1$  limits than for  $n$ .

The reader may be thinking that, for  $n > 0$ ,  $\mathbf{Lim}^n$ -programs are not particularly useful. What does it profit one to have learned, say, a  $\mathbf{Lim}^1$ -program for an  $f \in \mathcal{R}$ ? Well, with such a program one can discover values for  $f$  eventually (albeit without knowing when one has found those values), but it is easy to argue that “eventually” is too long to wait.

Actually,  $\mathbf{Lim}^n$ -programs, for  $n > 0$ , can be quite useful.

In physics it is sometimes easier to infer a *global* property of a phenomenon than it is to make more detailed predictions about observations; for example, Kepler’s Laws are easier to derive than equations of motion of planets. In the next section (Section 2.2) we show that it is, in some cases, possible to prove *global* properties of a computable function from a suitable  $\mathbf{Lim}^1$ -program for it when it is *not* possible to prove these properties from *any* of the ordinary ( $\varphi$  or  $\mathbf{Lim}^0$ ) programs for it.

## 2.2 Further Motivation

We next provide the preliminaries for obtaining the provability results as advertised just above at the end of previous section (Section 2.1).

We shall present our results for extensions of first order arithmetic. Regarding expressing propositions in first order arithmetic, we shall proceed informally. If  $E$  is an expression such as ‘ $\Phi_i \leq t$ ’, or ‘ $\varphi_i$  is total’, we shall write  $\ll E \gg$  to denote a naturally corresponding, fixed standard wff of first order arithmetic [27] which (semantically) expresses  $E$ . We need and assume that

if  $E'$  is obtained from  $E$  by changing some numerical values, then  $\ll E' \gg$   
 can be *algorithmically* obtained from those changed numerical values  
 and  $\ll E \gg$ .

It is understood that, if  $E$  contains references to partial functions, such as  $\varphi$  and  $\Phi$ , then in  $\ll E \gg$  these are, in effect, named by standard programs for them. It is well known that wffs extensionally equivalent (with respect to standard models) may not be intensionally or provably equivalent [14]. In what follows, when we

use the  $\ll \mathbf{E} \gg$  notation, it will always be for propositions that are easily seen to be (semantically) expressible in first order arithmetic.<sup>d</sup> ‘ $\vdash$ ’ denotes the provability relation.

**Theorem 4** *Suppose  $\mathbf{T}$  is an axiomatizable (i.e., r.e. [13]) first order theory which extends Peano Arithmetic [27] and in which one cannot prove anything false about totality of (partial) computable functions computed by programs in  $\varphi$ . Then there are  $e_0$  and  $e_1$  such that  $\varphi_{e_0} = \varphi_{e_1}^1$  is total, yet*

1.  $(\forall i \mid \varphi_i = \varphi_{e_0})[\mathbf{T} \not\ll \varphi_i \text{ is total } \gg]$ , and
2.  $[\mathbf{T} \vdash \ll \varphi_{e_1}^1 \text{ is total } \gg]$ .

PROOF. Suppose the hypotheses. Fix an automatic theorem prover for  $\mathbf{T}$ . In what follows any reference to proving something in  $\mathbf{T}$  within so many steps refers to steps in the execution of this automatic theorem prover.

Clearly there is a  $\varphi$ -program  $e_0$  such that, for all  $x$ ,

$$\varphi_{e_0}(x) = 1 + \max(\{\varphi_i(x) \mid i \leq x \wedge [\mathbf{T} \vdash \ll \varphi_i \text{ is total } \gg] \text{ within } x \text{ steps}\}).$$

Clearly  $\varphi_{e_0}$  is total and  $e_0$  satisfies the first clause in the statment of Theorem 4.

Also, there is a program  $e_1$  such that, for all  $x$ ,

$$\varphi_{e_1}(x, t) = \begin{cases} 0 & \text{if } \Phi_{e_0}(x) > t; \\ \varphi_{e_0}(x) & \text{otherwise.} \end{cases}$$

A simple case analysis proves that  $\varphi_{e_1}^1 = \lim_{t \rightarrow \infty} \varphi_{e_1}(\cdot, t)$  is total. Furthermore, *this* proof of totality is clearly formalizable in Peano Arithmetic, hence, in  $\mathbf{T}$ .

Since  $\varphi_{e_0}$  is total,  $\varphi_{e_1}^1 = \varphi_{e_0}$ . ■

The variant of Theorem 4 above in which ‘totality’ and ‘total’ are replaced by ‘monotonicity’ and ‘monotone’, respectively can clearly be proved by a slightly more complex construction than that in the proof just above of Theorem 4. Monotonicity is clearly an interesting global property of computable functions.

Also we can easily prove a variant of each of these theorems in which  $\varphi$ -programs and  $\varphi^1$ -programs are replaced by  $\varphi^n$ -programs and  $\varphi^{n+1}$ -programs, respectively.

In a sense we may consider the  $\mathbf{Lim}^n$ -programs to be *higher order*. [2] contains many results about learning a different class of higher order programs with comparisons to some of those in the present paper.

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<sup>d</sup>These informal discussion of provability and expressibility are based on Section 4.3 of [35].

## 3 Learning Limiting Programs

### 3.1 Definitions

**Definition 5** Let  $a \in N \cup \{*\}$ ,  $n \in N$ .

- (a)  $\mathbf{M} \mathbf{Lim}^n \mathbf{Ex}^a$ -identifies  $f \in \mathcal{R}$  (written:  $f \in \mathbf{Lim}^n \mathbf{Ex}^a(\mathbf{M})$ ) iff  $\mathbf{M}(f) \downarrow$  and  $\varphi_{\mathbf{M}(f)}^n =^a f$ .
- (b)  $\mathbf{Lim}^n \mathbf{Ex}^a = \{\mathcal{C} \subseteq \mathcal{R} \mid (\exists \mathbf{M})[\mathcal{C} \subseteq \mathbf{Lim}^n \mathbf{Ex}^a(\mathbf{M})]\}$ .

**Definition 6** Let  $a \in N \cup \{*\}$ ,  $n \in N$ .

- (a)  $\mathbf{M} \mathbf{Lim}^* \mathbf{Ex}^a$ -identifies  $f \in \mathcal{R}$  (written:  $f \in \mathbf{Lim}^* \mathbf{Ex}^a(\mathbf{M})$ ) iff  $\mathbf{M}(f) \downarrow = i$  such that  $\varphi_{\pi_2(i)}^{\pi_1(i)} =^a f$ .
- (b)  $\mathbf{Lim}^* \mathbf{Ex}^a = \{\mathcal{C} \subseteq \mathcal{R} \mid (\exists \mathbf{M})[\mathcal{C} \subseteq \mathbf{Lim}^* \mathbf{Ex}^a(\mathbf{M})]\}$ .

We often denote  $\mathbf{Lim}^1 \mathbf{Ex}^a$  by  $\mathbf{LimEx}^a$  ( $\mathbf{Lim}^1 \mathbf{Ex}^0$  by  $\mathbf{LimEx}$ ) and  $\mathbf{Lim}^a \mathbf{Ex}^0$  by  $\mathbf{Lim}^a \mathbf{Ex}$ .

**Definition 7** Let  $a \in N \cup \{*\}$ .

- (a)  $\mathbf{M} \mathbf{LimBc}^a$ -identifies  $f \in \mathcal{R}$  (written:  $f \in \mathbf{LimBc}^a(\mathbf{M})$ ) iff  $(\forall n) [\varphi_{\mathbf{M}(f[n])}^1 =^a f]$ .
- (b)  $\mathbf{LimBc}^a = \{\mathcal{C} \subseteq \mathcal{R} \mid (\exists \mathbf{M})[\mathcal{C} \subseteq \mathbf{LimBc}^a(\mathbf{M})]\}$ .

We usually write  $\mathbf{LimBc}$  for  $\mathbf{LimBc}^0$ . We do not consider iterated limits for  $\mathbf{Bc}$ -identification since  $\mathcal{R} \in \mathbf{LimBc}$  (Theorem 14) and thus iterating limits does not help.

### 3.2 Results

The next result implies that allowing an extra anomaly can sometimes more than makeup for any finite number of limits.

**Theorem 8**  $(\forall i)[\mathbf{Ex}^{i+1} - \mathbf{Lim}^* \mathbf{Ex}^i \neq \emptyset]$ .

PROOF. Fix  $i$ . Consider the following class of functions:

$$\mathcal{C} = \{f \mid \varphi_{f(0)} =^{i+1} f\}.$$

Clearly,  $\mathcal{C}$  is in  $\mathbf{Ex}^{i+1}$ . Suppose by way of contradiction machine  $\mathbf{M} \mathbf{Lim}^* \mathbf{Ex}^i$  identifies  $\mathcal{C}$ . Then by the Kleene recursion theorem [33, Page 214] there exists an  $e$  such that the (partial) function  $\varphi_e$  may be defined as follows.

Let  $\varphi_e(0) = e$ . Let  $x_s$  denote the least  $x$  such that  $\varphi_e(x)$  has not been defined before stage  $s$ . Thus  $x_0 = 1$ . Go to stage 0.



Begin stage  $s$

1. For each  $y \in N$ , let  $f_y$  denote the function defined as follows.

$$f_y(x) = \begin{cases} \varphi_e(x) & x < x_s; \\ y & x_s \leq x \leq x_s + i; \\ 0 & \text{otherwise.} \end{cases}$$

2. Dovetail steps 3 and 4 in parallel until step 4 succeeds. If and when step 4 succeeds, go to step 5.
3. Let  $x = x_s + i + 1$ .  
**repeat**  
 $\varphi_e(x) = 0; x = x + 1$ .  
**forever**
4. Search for a  $y \in N$  and  $n > x_s + i + 1$  such that,  $\mathbf{M}(\varphi_e[x_s]) \neq \mathbf{M}(f_y[n])$ .
5. If and when 4 above succeeds, let  $y$  and  $n$  be as found in step 4. For  $x_s \leq x \leq x_s + i$ , let  $\varphi_e(x) = y$ . For  $x_s + i < x < n$  such that  $\varphi_e$  has not been defined till now, let  $\varphi_e(x) = 0$ .
6. Go to stage  $s + 1$ .

End stage  $s$

Now consider the following cases:

*Case 1:* Infinitely many stages are executed.

In this case, let  $f = \varphi_e \in \mathcal{C}$ . Clearly,  $\mathbf{M}(f) \uparrow$  (because of the success of step 4 in each stage  $s$ ).

*Case 2:* Some stage  $s$  starts but never finishes.

Let stage  $s$  be the stage which starts but never finishes. For each  $y$ , let  $f_y$  be as defined in step 1 of stage  $s$ .

Now for all  $y$ ,  $f_y \in \mathcal{C}$ . Also for each  $y$ ,  $\mathbf{M}(f_y) \downarrow = \mathbf{M}(\varphi_e[x_s])$ . But  $\pi_2(\mathbf{M}(\varphi_e[x_s]))$  can be a  $\mathbf{Lim}^{\pi_1(\mathbf{M}(\varphi_e[x_s]))}$  program for an  $i$  variant of at most finitely many  $f_y$ .

Thus there exists an  $f_y (\in \mathcal{C})$  such that  $\mathbf{M}$  does not  $\mathbf{Lim}^* \mathbf{Ex}^i$  identify  $f_y$ .

From the above cases it follows that  $\mathcal{C} \notin \mathbf{Lim}^* \mathbf{Ex}^i$ . ■

Similarly it can be shown that  $\mathcal{C} = \{f \mid \varphi_{f(0)} =^* f\} \in \mathbf{Ex}^* - \bigcup_i \mathbf{Lim}^* \mathbf{Ex}^i$ .

**Theorem 9**  $\mathbf{Ex}^* - \bigcup_{i \in N} \mathbf{Lim}^* \mathbf{Ex}^i \neq \emptyset$ .

As a corollary to Theorems 8 and 9 we have

**Corollary 10**  $(\forall a \in N \cup \{*\})[\mathbf{Lim}^a \mathbf{Ex}^0 \subset \mathbf{Lim}^a \mathbf{Ex}^1 \subset \mathbf{Lim}^a \mathbf{Ex}^2 \subset \dots \subset \mathbf{Lim}^a \mathbf{Ex}^i \subset \mathbf{Lim}^a \mathbf{Ex}^{i+1} \subset \dots \subset \mathbf{Lim}^a \mathbf{Ex}^*]$ .

A modification (which is similar in nature to the proof of Theorem 8) to the proof of  $\mathbf{Bc} - \mathbf{Ex}^* \neq \emptyset$  in [10] can be used to show that:

**Theorem 11**  $\mathbf{Bc} - \mathbf{Lim}^* \mathbf{Ex}^* \neq \emptyset$ .

**Theorem 12**  $(\forall j)[\mathbf{LimEx} - \mathbf{Bc}^j \neq \emptyset]$ .

PROOF. Consider the following class of functions:

$$\mathcal{C} = \{f \in \mathcal{R} \mid f \text{ is the zero-extension of } \varphi_{f(0)}\}.$$

It is easy to see that  $\mathcal{C} \in \mathbf{LimEx}$ . Suppose by way of contradiction that  $\mathbf{M Bc}^j$  identifies  $\mathcal{C}$ . Then by the Kleene recursion theorem [33] there exists an  $e$  such that the (partial) function  $\varphi_e$  may be defined as follows.

Let  $\varphi_e(0) = e$ . Let  $x_s$  denote the least  $x$  such that  $\varphi_e(x)$  has not been defined before stage  $s$ . Go to stage 0.

Begin stage  $s$

1. Let  $f$  be the zero-extension of  $\varphi_e[x_s]$ . Search for  $y_1, y_2, \dots, y_{j+1}, n$  such that  $y_{j+1} > y_j > \dots > y_1 > n > x_s$  and  $\varphi_{\mathbf{M}(f[n])}(y_i) \downarrow$ , for each  $i$  such that  $1 \leq i \leq j+1$ .
2. If and when such  $y_i$ 's and  $n$  are found let:

$$\begin{aligned} \varphi_e(y_i) &= \varphi_{\mathbf{M}(f[n])}(y_i) + 1, \text{ for } 1 \leq i \leq j+1. \\ \varphi_e(x) &= 0, \text{ for } x_s \leq x < y_{j+1} \text{ and } x \notin \{y_1, y_2, \dots, y_{j+1}\}. \end{aligned}$$

3. Go to stage  $s+1$ .

End stage  $s$

Now consider the following cases:

Case 1: Infinitely many stages are executed.

In this case let  $f = \varphi_e \in \mathcal{C}$ . However,  $\varphi_{\mathbf{M}(f[n])} \neq^j f$  for infinitely many  $n$  (by the success of the search in step 1, in each stage  $s$ , and the diagonalization in step 2).

Case 2: Some stage  $s$  starts but never finishes.

Let stage  $s$  be the stage which starts but never finishes. Let  $f$  be the zero-extension of  $\varphi_e$ . Now for all but finitely many  $n$ , for all but finitely many  $x$ ,  $\varphi_{\mathbf{M}(f[n])}(x) \uparrow$  (otherwise step 1 would succeed).

From the above cases it follows that  $\mathcal{C} \notin \mathbf{Bc}^j$ . ■

As a corollary we have:

**Corollary 13**  $(\forall a \in N \cup \{*\})[\mathbf{Ex}^a \subset \mathbf{LimEx}^a]$ .

**Theorem 14**  $\mathcal{R} \in \mathbf{LimBc}$ .

PROOF. Let  $\mathbf{M}(f[n])=i$  such that,

$$\varphi_i(x, j) = \begin{cases} \varphi_k(x), & \text{if } (\exists l \leq j)(\forall y < n)[\Phi_l(y) \leq j \wedge \varphi_l(y) = f(y) \wedge \Phi_l(x) \leq j] \wedge \\ & k \text{ is the least such } l; \\ 0, & \text{otherwise.} \end{cases}$$

It is easy to see that  $\mathbf{M}$  witnesses that  $\mathcal{R} \in \mathbf{LimBc}$ . ■

The next Theorem implies that allowing an extra limit can sometimes more than makeup for allowing an arbitrary but finite number of anomalies.

**Theorem 15**  $(\forall n)[\mathbf{Lim}^{n+1}\mathbf{Ex} - \mathbf{Lim}^n\mathbf{Ex}^* \neq \emptyset]$ .

PROOF. Consider the following class of functions:

$$\mathcal{C}_n = \{f \in \mathcal{R} \mid f(0) \text{ is a } \mathbf{Lim}^{n+1}\text{-program for } f\}.$$

Clearly,  $\mathcal{C}_n \in \mathbf{Lim}^{n+1}\mathbf{Ex}$ . We show that  $\mathcal{C}_1 \notin \mathbf{Lim}^1\mathbf{Ex}^*$ . Proof can be easily extended to show that  $\mathcal{C}_n \notin \mathbf{Lim}^n\mathbf{Ex}^*$ .

Suppose by way of contradiction that  $\mathcal{C}_1 \subseteq \mathbf{Lim}^1\mathbf{Ex}(\mathbf{M})$ . Then by the Kleene recursion theorem [33] there exists an  $e$  such that the (partial) function  $\varphi_e$  may be defined as follows.

We will construct  $\varphi_e$  in stages.  $e$  will be a  $\mathbf{Lim}^2$ -program for a function in  $\mathcal{C}_1 - \mathbf{Lim}^1\mathbf{Ex}^*(\mathbf{M})$ . Let  $f = \varphi_e^2$  (we will argue below that  $\varphi_e^2$  is indeed a total recursive function).

Let  $(\forall t_1, t_2)[\varphi_e(0, t_1, t_2) = e]$ . Let  $x_s$  denote the least  $x$  such that  $f(x)$  does not become known before stage  $s$ . Go to stage 0.

Begin stage  $s$

1. Dovetail steps 2 and 3 until step 2 succeeds. If and when step 2 succeeds, go to step 4.
2. Search for an extension  $\tau$  of  $f[x_s]$  such that  $\mathbf{M}(f[x_s]) \neq \mathbf{M}(\tau)$ .
3. Dovetail steps 3. $x$  ( $x \geq x_s$ ) in such a way that if step 2 does not succeed, then each step gets infinite time.

3.x (Note: Basically in this step we try to define  $\varphi_e^2(x)$ . If step 2 does not succeed then this step will be successful in defining  $\varphi_e^2(x)$ . Assuming that step 2 does not succeed:  $\varphi_e^2(x)$  will be 0 if there exists a  $y \geq x$  such that  $(\forall^{\infty} t)[\varphi_{\mathbf{M}(f[x_s])}(y, t) \neq 0]$ ; otherwise  $\varphi_e^2(x)$  will be 1).

Go to substage  $x:0$ .

Begin Substage  $x : \langle y, t \rangle$

If  $y < x$  then go to substage  $x : (\langle y, t \rangle + 1)$ .

Let  $t_1$  be the least  $t'_1$  such that there exists a  $t'_2$ , for which  $\varphi_e(x, t'_1, t'_2)$  has not been defined till now.

Dovetail step 3.x.1 and 3.x.2 until 3.x.1 succeeds. If and when step 3.x.1 succeeds, go to step 3.x.3.

3.x.1 Search for a  $t' > t$  such that  $\varphi_{\mathbf{M}(f[x_s])}(y, t') = 0$ .

3.x.2 Let  $w = 0$ .

**repeat**

Let  $u, v$  be such that  $w = \langle u, v \rangle$ .

If  $\varphi_e(x, u, v)$  has not been defined till now, then let  $\varphi_e(x, u, v) = 0$ .

Let  $w = w + 1$ .

**forever**

3.x.3 For all  $t_2$  such that  $\varphi_e(x, t_1, t_2)$  is not defined till now, let  $\varphi_e(x, t_1, t_2) = 1$ .

Go to substage  $x : (\langle y, t \rangle + 1)$ .

End Substage  $x : \langle y, t \rangle$

4. For  $x$  such that  $x_s \leq x < |\tau|$ , let

$\varphi_e(x, t_1, t_2) = y$ , where  $(x, y) \in \text{content}(\tau)$  and  $\varphi_e(x, t_1, t_2)$  is not defined till now. (Thus  $f(x) = y$ ).

Go to stage  $s + 1$ .

(Note that  $\mathbf{M}(f[x_s]) \neq f[x_{s+1}]$ ).

End stage  $s$

Now consider the following cases:

*Case 1:* Infinitely many stages are executed.

In this case clearly,  $f$  is recursive. Also  $\mathbf{M}(f) \uparrow$  (by the success of step 2, in each stage  $s$ ).

*Case 2:* Some stage  $s$  starts but never finishes.

Let stage  $s$  be the stage which starts but never finishes. Now, on all extensions of  $f[x_s]$ ,  $\mathbf{M}$  converges to  $\mathbf{M}(f[x_s])$ . We claim that for  $x \geq x_s$ , if  $(\exists y \geq x)[(\forall^{\infty} t)[\varphi_{\mathbf{M}(f[x_s])}(y, t) \neq 0]]$ , then  $f(x) = 0$ ;  $f(x) = 1$  otherwise. This is so because

if there exist  $y, t$  such that  $y \geq x$  and  $[(\forall t' > t)[\varphi_{\mathbf{M}(f[x_s])}(y, t') \neq 0]]$ , then substage  $x : \langle y, t \rangle$ , would never end, and thus, due to step 3.x.2,  $f(x) = 0$ ;  
if for all  $y \geq x$ ,  $[(\exists t)[\varphi_{\mathbf{M}(f[x_s])}(y, t) = 0]]$ , then for all  $n$ , substage  $x : n$  halts and thus  $f(x) = 1$ .

Thus clearly,  $f(x)$  is 1 for all but finitely many  $x$  or  $f(x)$  is 0 for all but finitely many  $x$ . Thus  $f$  is recursive. Also  $f \neq^* \varphi_{\mathbf{M}(f[x_s])}^1$ .

From the above cases it follows that  $\mathbf{M}$  does not  $\mathbf{Lim}^1 \mathbf{Ex}$ -identify  $f$ . ■

Similarly we can also prove

**Theorem 16**  $\mathbf{Lim}^* \mathbf{Ex} - \bigcup_n \mathbf{Lim}^n \mathbf{Ex}^* \neq \emptyset$ .

As a corollary to Theorems 15 and 16 we have

**Corollary 17**  $(\forall a \in N \cup \{*\})[\mathbf{Ex}^a \subset \mathbf{Lim}^1 \mathbf{Ex}^a \subset \mathbf{Lim}^2 \mathbf{Ex}^a \subset \dots \subset \mathbf{Lim}^i \mathbf{Ex}^a \subset \mathbf{Lim}^{i+1} \mathbf{Ex}^a \subset \dots \subset \mathbf{Lim}^* \mathbf{Ex}^a]$ .

The following corollary follows from Theorems 8, 9, 15 and 16.

**Corollary 18**  $(\forall a, b, a', b' \in N \cup \{*\})[[\mathbf{Lim}^a \mathbf{Ex}^b \subseteq \mathbf{Lim}^{a'} \mathbf{Ex}^{b'}] \Leftrightarrow [a \leq a' \wedge b \leq b']]$ .

## 4 Nearly Minimal Identification of Limiting Programs

Freivalds and Chen [15, 12, 11] studied the learning of nearly minimal size programs. Here we study limiting analogs.

**Definition 19** Let  $a, b \in N \cup \{*\}$  and  $h \in \mathcal{R}$ .

a)  $\mathbf{M}$   $h\text{-MLim}^a \mathbf{Ex}^b$ -identifies  $f \in \mathcal{R}$  (written:  $f \in h\text{-MLim}^a \mathbf{Ex}^b(\mathbf{M})$ ) iff  $\mathbf{M}$   $\mathbf{Lim}^a \mathbf{Ex}^b$ -identifies  $f$  and  $\mathbf{M}(f) \leq h(\text{MinProg}(f))$ .

b)  $h\text{-MLim}^a \mathbf{Ex}^b = \{\mathcal{C} \subseteq \mathcal{R} \mid (\exists \mathbf{M})[\mathcal{C} \subseteq h\text{-MLim}^a \mathbf{Ex}^b(\mathbf{M})]\}$ .

c)  $\mathbf{MLim}^a \mathbf{Ex}^b = \bigcup_{h \in \mathcal{R}} h\text{-MLim}^a \mathbf{Ex}^b$ .

We now show the following surprising theorem.

**Theorem 20**  $(\forall a, b \in N \cup \{*\} \mid a \neq 0)[\mathbf{MLim}^a \mathbf{Ex}^b = \mathbf{Lim}^a \mathbf{Ex}^b]$ .

PROOF. We prove the theorem for  $a = 1$ . Other cases are similar. Suppose  $\mathbf{M}$  is given.

Let  $m_{i,t} = \min(\{t\} \cup \{x \mid \Phi_i(x) > t\})$ . Let  $g$  be such that,  $\varphi_{g(i)}(x,t) = \varphi_{\mathbf{M}(\varphi_{i[m_{i,t}]})}(x,t)$ .

Let  $h(x) = \sum_{k=0}^x g(k)$ .

Now consider the following machine  $\mathbf{M}'$ .

$\mathbf{M}'(f[n]) = g(i_{f[n]})$ , where  $i_{f[n]} = \min(\{n\} \cup \{i \mid i \leq n \wedge \varphi_i[m_{i,n}] = f[m_{i,n}] \wedge \mathbf{M}(f[m_{i,n}]) = \mathbf{M}(f[n])\})$ .

Now suppose  $f \in \mathbf{Lim}^1 \mathbf{Ex}^b(\mathbf{M})$ . Clearly for large enough  $n$ ,  $i_{f[n]} = \min(\{i \mid \varphi_i = f \vee [\lim_{t \rightarrow \infty} m_{i,t} \text{ exists and } \varphi_i[\lim_{t \rightarrow \infty} m_{i,t}] = f[\lim_{t \rightarrow \infty} m_{i,t}] \wedge \mathbf{M}(f) = \mathbf{M}(f[\lim_{t \rightarrow \infty} m_{i,t}])\})$ . It follows that for large enough  $n$ ,  $\varphi_{\mathbf{M}'(f)}^1 = \varphi_{g(i_{f[n]})}^1 = \varphi_{\mathbf{M}(f)}^1$ . Thus  $f \in \mathbf{Lim}^1 \mathbf{Ex}^b(\mathbf{M}')$ . Let  $i_f = \min(\{i \mid \varphi_i = f \vee [\lim_{t \rightarrow \infty} m_{i,t} \text{ exists and } \varphi_i[\lim_{t \rightarrow \infty} m_{i,t}] = f[\lim_{t \rightarrow \infty} m_{i,t}] \wedge \mathbf{M}(f) = \mathbf{M}(f[\lim_{t \rightarrow \infty} m_{i,t}])\})$ . Now  $\mathbf{M}'(f) = g(i_f) \leq h(\text{MinProg}(f))$ . Thus  $\mathbf{Lim}^1 \mathbf{Ex}^b(\mathbf{M}) \subseteq h\text{-MLim}^1 \mathbf{Ex}^b(\mathbf{M}')$ . ■

## 5 Using Limiting Programs for Succinctness

Next we show that using **Lim**-programs to infer functions may considerably succinctify ([9]) the final result over using ordinary ( $\varphi$ ) programs.

**Theorem 21**  $(\exists \mathcal{C} \subseteq \mathbf{EvtlyConst} \mid \text{card}(\mathcal{C}) = \infty)(\exists \mathbf{M})(\forall h \in \mathcal{R})[\mathcal{C} \subseteq \mathbf{LimEx}(\mathbf{M}) \wedge (\forall f \in \mathcal{C})[h(\mathbf{M}(f)) \leq \text{MinProg}^*(f)]]$ .

Moreover, in the immediately above Theorem (Theorem 21) we can take  $\mathcal{C}$  to be a set of functions computed by an r.e. sequence of  $\mathbf{Lim}^1$ -programs.

PROOF. Using the operator recursion theorem [6] we will describe a sequence of programs  $p(0), p(1), \dots$ . Let  $f_i = \varphi_{p(i)}^1$  (it will be clear that  $f_i$  is a total recursive function; in fact  $f_i \in \mathbf{EvtlyConst}$ ). We let  $\mathcal{C} = \{f_i \mid i \in \mathbb{N}\}$ . We now describe the construction of  $\varphi_{p(i)}$ . For all  $t$ , let  $\varphi_{p(i)}(0, t) = i$  (thus  $f_i(0) = i$ ). Go to stage 1.

Definition of  $\varphi_{p(i)}$

Begin stage  $s$

1. For each  $t < s$ , let  $\varphi_{p(i)}(s, t) = 0$ .
2. Let  $m_s = 1 + \max(\{\varphi_j(p(i)) \mid j \leq i \wedge \Phi_j(p(i)) \leq s\})$ .
3. For  $k, l \leq m_s$ , let

$$w_{i,k}^s = \text{card}(\{x < s \mid [\text{card}(\{j < m_s \mid \Phi_j(x) \leq s\}) = m_s - k] \wedge [l = \min(N - \{\varphi_j(x) \mid j < m_s \wedge x < s \wedge \Phi_j(x) \leq s\})]\}).$$

For  $k, l \leq m_s$ , let  $c_{i,k}^s = \sum_{j < m_s, r < k} w_{j,r}^s + \sum_{j < l} w_{j,k}^s$ .

4. **for**  $k = 0$  **to**  $m_s$ 
    - for**  $r = 0$  **to**  $m_s$ 
      - for**  $l = 1$  **to**  $w_{r,k}^s$ 

$$\varphi_{p(i)}(c_{r,k}^s + l, s) = r$$
    - endfor**
  - endfor**
  - endfor**
5. Go to stage  $s + 1$ .
- End stage  $s$

End Definition of  $\varphi_{p(i)}$

Clearly all stages halt. Note that for all but finitely many  $s$ ,  $m_s = 1 + \max(\{\varphi_j(p(i)) \mid j \leq i \wedge \varphi_j(p(i)) \downarrow\})$ . Let  $m = 1 + \max(\{\varphi_j(p(i)) \mid j \leq i \wedge \varphi_j(p(i)) \downarrow\})$ . For (the finitely many)  $s$  such that  $m_s < m$ ,  $w_{l,k}^s$  may not be defined for some values of  $l, k \leq m$ . To simplify our exposition we assume that these  $w_{l,k}^s$  are taken to be 0 in the analysis below. Since  $\sum_{k=1}^{m_s} \sum_{l=1}^{m_s} w_{l,k}^s = s$ , we have that there exist  $k, l \leq m$  such that the sequence  $w_{l,k}^0, w_{l,k}^1, w_{l,k}^2, \dots$  diverges. Let  $k (\leq m)$  be the least value such that there exists an  $l \leq m$  such that the sequence  $w_{l,k}^0, w_{l,k}^1, w_{l,k}^2, \dots$  diverges. Let  $l (\leq m)$  be the least value such that  $w_{l,k}^0, w_{l,k}^1, w_{l,k}^2, \dots$  does not converge. Now let  $s$  be the least value such that for all  $s' > s$ ,

$$m_{s'} = m_s;$$

$$w_{l',k'}^{s'} = w_{l',k'}^s, \text{ for } k' < k, l' \leq m \text{ and}$$

$$w_{l',k}^{s'} = w_{l',k}^s \text{ for } l' < l.$$

Now it is easy to see that, for all  $s' > s$ ,  $w_{l,k}^{s'+1} \geq w_{l,k}^{s'}$ . Thus we have that, for all  $x > c_{l,k}^s$ ,  $f_i(x) = l$ . Also, it is easy to see that for all  $x \leq c_{l,k}^s$ ,  $f_i(x) \downarrow$ . Moreover, for all  $j \leq m$ , there exist infinitely many  $x$  such that  $\varphi_j(x) \neq l$ .

Now let  $\mathbf{M}$  be a machine such that for all  $f$ ,  $\mathbf{M}(f[0]) = 0$  and  $\mathbf{M}(f[n]) = p(f(0))$ , for  $n > 0$ . Clearly  $\mathcal{C} \in \mathbf{LimEx}(\mathbf{M})$ . Let  $h \in \mathcal{R}$  be given. Let  $j$  be such that  $\varphi_j = h$ . Then for all  $i > j$ , for all  $r \leq h(p(i))$ ,  $\varphi_r$  is infinitely different from  $f_i$ . ■

As a corollary to the above theorem we have

**Corollary 22**  $(\exists \mathcal{C} \subseteq \mathbf{EvtlyConst} \mid \text{card}(\mathcal{C}) = \infty)(\exists \mathbf{M})(\forall h \in \mathcal{R})(\forall \mathbf{M}' \mid \mathcal{C} \subseteq \mathbf{Ex}^*(\mathbf{M}'))[\mathcal{C} \subseteq \mathbf{LimEx}(\mathbf{M}) \wedge (\forall f \in \mathcal{C})[h(\mathbf{M}(f)) \leq \mathbf{M}'(f)]]$ .

The above corollary can be generalized by replacing  $\mathbf{Ex}$  by  $\mathbf{Lim}^n \mathbf{Ex}$  and  $\mathbf{LimEx}$  by  $\mathbf{Lim}^{n+1} \mathbf{Ex}$ .

## 6 Strong Separation

We now prove the following theorem. A corollary (Corollary 24) of its proof provides a strong separation result. Other strong separation results can be found in [11, 8].

**Theorem 23**  $(\forall j \in N)(\exists \mathcal{C} \subseteq \mathcal{R})(\forall \mathbf{M})(\exists \mathbf{M}')(\forall a \in N \cup \{*\})[[\mathcal{C} \subseteq \mathbf{LimEx}(\mathbf{M}')] \wedge [\mathcal{C} \notin \mathbf{Bc}^j] \wedge [(\mathbf{Ex}^a(\mathbf{M}) - \mathcal{C}) \subseteq \mathbf{Ex}^a(\mathbf{M}')] \wedge [(\mathbf{Bc}^a(\mathbf{M}) - \mathcal{C}) \subseteq \mathbf{Bc}^a(\mathbf{M}')] ]]$ .

PROOF. Fix  $j$ . Let  $\mathbf{M}_0, \mathbf{M}_1, \dots$  denote a standard recursive enumeration of all the inductive inference machines.

We will construct functions  $p$  and  $q$  such that, for each  $i$ , the following five conditions are satisfied.

- (a)  $\varphi_{p(i)}^1 \in \mathcal{R}$ .
- (b)  $\varphi_{p(i)}^1 \notin \mathbf{Bc}^j(\mathbf{M}_i)$ .
- (c)  $\varphi_{p(i)}^1(0) = i$  and  $\varphi_{p(i)}^1(1) = 0$ .
- (d)
  - (d.1)  $\varphi_{q(i)} = \varphi_{p(i)}^1$  OR
  - (d.2)  $\varphi_{q(i)}$  is an initial segment of  $\varphi_{p(i)}^1$  and  $\text{range}(\varphi_{p(i)}^1 - \varphi_{q(i)}) = \{0\}$ .
- (e)  $(\forall x > 0)[$ 
  - $[\varphi_{p(i)}^1(x) = 0 \wedge \varphi_{p(i)}^1(x+1) \neq 0] \Rightarrow$
  - $[(\forall r \mid 1 \leq r \leq j+1)[\varphi_{p(i)}^1(x+r) = 1] \wedge [\varphi_{p(i)}^1(x+j+2) = 2 + \Phi_{q(i)}(x+j+1)] \wedge [\varphi_{p(i)}^1(x+j+3) = 0]]$

Let  $\mathcal{C} = \{\varphi_{p(i)}^1 \mid i \in N\}$ .

We will define  $p, q$  as claimed above later. First we show, given  $\mathbf{M}$ , how to construct  $\mathbf{M}'$  as claimed in the theorem (assuming the existence of  $p$  and  $q$  as defined above).

For  $n > 0$ , let  $Sat(f[n])$  be true iff the following two conditions are satisfied.

- (1)  $(\forall x < n)[\Phi_{q(f(0))} < n \Rightarrow \varphi_{q(f(0))}(x) = f(x)]$ .
- (2)  $(\forall x < n - j - 4)[$ 
  - $[f(x) = 0 \wedge f(x+1) \neq 0] \Rightarrow$
  - $[(\forall r \mid 1 \leq r \leq j+1)[f(x+r) = 1] \wedge [f(x+j+2) = 2 + \Phi_{q(f(0))}(x+j+1)] \wedge [f(x+j+3) = 0]]$



It is easy to see that, for all  $f$ ,  $f \in \mathcal{C}$  iff  $(\forall n > 0)[Sat(f[n])]$ .

Let  $\mathbf{M}'(f[0]) = 0$ . For  $n > 0$ , if  $Sat(f[n])$ , then let  $\mathbf{M}'(f[n]) = p(f[0])$ ; else let  $\mathbf{M}'(f[n]) = \mathbf{M}(f[n])$ . It is easy to see, from the properties of  $Sat$  discussed above that  $\mathbf{M}'$  satisfies the conditions of the theorem.

We now construct  $p, q$  as claimed above. By, for example, the operator recursion theorem [6] there exist recursive functions  $p$  and  $q$  such that the (partial) functions  $\varphi_{p(i)}$  and  $\varphi_{q(i)}$  may be defined as follows. We define  $\varphi_{p(i)}$  and  $\varphi_{q(i)}$  in stages. Let  $x_s$  denote the least  $x$  such that  $\varphi_{q(i)}$  is not defined before stage  $s$ .

Description of  $\varphi_{p(i)}$  and  $\varphi_{q(i)}$

For all  $t \in N$ , let  $\varphi_{p(i)}(0, t) = i$ . Let  $\varphi_{q(i)}(0) = i$ .

For all  $t \in N$ , let  $\varphi_{p(i)}(1, t) = 0$ . Let  $\varphi_{q(i)}(1) = 0$ .

Go to stage 0.

Begin stage  $s$

1. Dovetail steps 2 and 3 until step 2 succeeds. If and when step 2 succeeds, go to step 4.

2. Let  $g$  be the zero-extension of  $\varphi_{q(i)}[x_s]$ . Search for  $n > x_s$ , and for  $w > n$ , such that for each  $r \leq j$ ,  $\varphi_{\mathbf{M}_i(g[n])}(w+r) \downarrow = 0$ .

3. **repeat**

Let  $\langle x, t \rangle$  be the least number such that  $\varphi_{p(i)}(x, t)$  has not been defined till now. Let  $\varphi_{p(i)}(x, t) = 0$ .

**forever**

4. Let  $n, w$  be as found in step 2.

4.1 For each  $x$ , such that  $x_s \leq x < w$

Let  $\varphi_{q(i)}(x) = 0$ .

For all  $t$  such that  $\varphi_{p(i)}(x, t)$  has not been defined till now, let

$\varphi_{p(i)}(x, t) = 0$ .

4.2 For each  $r \leq j$ , let  $\varphi_{q(i)}(w+r) = 1$ .

For each  $r \leq j$  and  $t \in N$ , such that  $\varphi_{p(i)}(w+r, t)$  has not been defined till now, let  $\varphi_{p(i)}(w+r, t) = 1$ .

4.3 Let  $\varphi_{q(i)}(w+j+1) = 2 + \Phi_{q(i)}(w+j)$ .

For all  $t \in N$  such that  $\varphi_{p(i)}(w+j+1, t)$  has not been defined till now, let  $\varphi_{p(i)}(w+j+1, t) = 2 + \Phi_{q(i)}(w+j)$ .

4.4 Let  $\varphi_{q(i)}(w+j+2) = 0$ .

For all  $t$  such that  $\varphi_{p(i)}(w+j+2, t)$  has not been defined till now, let  $\varphi_{p(i)}(w+j+2, t) = 0$ .

5. Go to stage  $s + 1$ .

End stage  $s$

End of description of  $\varphi_{p(i)}$  and  $\varphi_{q(i)}$

Properties (a), (c), (d) and (e) follow immediately from construction. For property (b) consider the following cases.

*Case 1:* Infinitely many stages are executed.

In this case clearly,  $\varphi_{p(i)}^1 = \varphi_{q(i)} \in \mathcal{R}$ . Moreover for infinitely many  $n$ ,  $\varphi_{\mathbf{M}_i(\varphi_{p(i)}^1[n])} \neq^j \varphi_{p(i)}^1$  (by the success of step 2, in each stage  $s$  and the diagonalization at step 4.2). Thus  $\varphi_{p(i)}^1 \notin \mathbf{Bc}^j$ .

*Case 2:* Some stage  $s$  starts but never finishes.

Let stage  $s$  be the stage which starts but never finishes. Clearly,  $\varphi_{q(i)} = \varphi_{p(i)}^1[x_s]$  and  $\varphi_{p(i)}^1$  is the zero-extension of  $\varphi_{q(i)}[x_s]$ . Moreover, for all  $n > x_s$ , for infinitely many  $x$ ,  $\varphi_{\mathbf{M}_i(\varphi_{p(i)}^1[n])}(x) \neq 0$  (otherwise, step 2 would succeed in stage  $s$ ).

From the above cases it follows that  $p$  also satisfies condition (b). The theorem follows. ■

Since  $\lim_{n \rightarrow \infty} \text{Sat}(f[n])$ , in the above proof, can be used to distinguish between functions in  $\mathcal{C}$  and not in  $\mathcal{C}$ , the following corollary can be obtained by an easy modification of  $\mathbf{M}'$  above.

**Corollary 24**  $(\forall a \in N \cup \{*\})(\forall \mathcal{C} \in \mathbf{Ex}^a)(\exists \mathcal{C}' \supseteq \mathcal{C})[\mathcal{C}' \in \mathbf{LimEx}^a - \mathbf{Ex}^a]$ .

At present it is open whether the above theorem can be generalized to show that  $(\forall a \in N \cup \{*\})(\forall n)(\forall \mathcal{C} \in \mathbf{Lim}^n \mathbf{Ex}^a)(\exists \mathcal{C}' \supseteq \mathcal{C})[\mathcal{C}' \in \mathbf{Lim}^{n+1} \mathbf{Ex}^a - \mathbf{Lim}^n \mathbf{Ex}^a]$ .

## 7 Extension of LimEx Criterion to Learning with Additional Information

### 7.1 Learning with Additional Information

In [17, 22] learning with the knowledge of upper bound on the program size of the function being learned was introduced. In this approach, a machine identifying a program for a recursive function from its graph, is provided with an upper-bound on the minimal program for the function as additional information. Hence, to simplify our exposition, learning machines with additional information can be viewed as taking two arguments: an upper-bound on the minimal program and an initial

segment of graph of a function. In other words, learning machines identifying functions with additional information are algorithmic devices that compute a mapping from  $N \times \text{INIT}$  into  $N$ .

$\mathbf{M}(j, f) \downarrow$  (read:  $\mathbf{M}$  on  $f$  with additional information  $j$  converges) iff  $(\exists i)(\forall^\infty n)[\mathbf{M}(j, f[n]) = i]$ .  $\mathbf{M}(j, f) \uparrow$  otherwise. If  $\mathbf{M}(j, f) \downarrow$ , then  $\mathbf{M}(j, f) = i$ , where  $i$  is such that  $(\forall^\infty n)[\mathbf{M}(j, f[n]) = i]$ .

**Definition 25** [22] Let  $a, b \in N \cup \{*\}$ .

(a)  $\mathbf{M} \mathbf{Bex}^{a,b}$ -identifies  $f \in \mathcal{R}$  (written:  $f \in \mathbf{Bex}^{a,b}(\mathbf{M})$ )  $\iff (\forall x \geq \text{MinProg}^b(f)) (\exists i \mid \varphi_i =^a f) [\mathbf{M}(x, f) \downarrow = i]$ .

(b)  $\mathbf{Bex}^{a,b} = \{\mathcal{C} \subseteq \mathcal{R} \mid (\exists \mathbf{M})[\mathcal{C} \subseteq \mathbf{Bex}^{a,b}(\mathbf{M})]\}$ .

Intuitively,  $\mathbf{M} \mathbf{Bex}^{a,b}$ -identifies  $f$  iff  $\mathbf{M}$ , fed  $j$ , at least as large as the minimal program that computes  $f$  with at most  $b$  errors, and graph of  $f$ , converges to a program that computes  $f$  with at most  $a$  errors. The notion  $\mathbf{Bex}^{0,0}$ -identification was first studied by Freivalds and Wiehagen [17].

If, in Definition 25, we further require that the final program conjectured be the same for any upper-bound, we get a new identification criterion described in Definition 26 below.

**Definition 26** [22] Let  $a, b \in N \cup \{*\}$ .

(a)  $\mathbf{M} \mathbf{ResBex}^{a,b}$ -identifies  $f \in \mathcal{R}$  (written:  $f \in \mathbf{ResBex}^{a,b}(\mathbf{M})$ )  $\iff (\exists i \mid \varphi_i =^a f) (\forall x \geq \text{MinProg}^b(f)) [\mathbf{M}(x, f) \downarrow = i]$ .

(b)  $\mathbf{ResBex}^{a,b} = \{\mathcal{C} \subseteq \mathcal{R} \mid (\exists \mathbf{M})[\mathcal{C} \subseteq \mathbf{ResBex}^{a,b}(\mathbf{M})]\}$ .

$\mathbf{ResBex}^{0,0}$ -identification criterion was mentioned as  $\text{GN}^+$  in [16].

It is noted in [16, 22] that  $\mathbf{ResBex}^{0,0} \subset \mathbf{Bex}^{0,0}$ . In [22] this is interpreted to indicate a possible explanation for the superiority of non-classroom over classroom language learning: in the classroom one is expected to learn the same grammar as the teacher. It is proved in [22] that for all  $n \in N$ ,  $\mathcal{R} \in \mathbf{Bex}^{n,n}$ .

## 7.2 Learning Limiting Programs with Additional Information

We now define the notion of learning limiting program with additional information.

**Definition 27** Let  $a, b \in N \cup \{*\}$ .

(a)  $\mathbf{M} \mathbf{BLimEx}^{a,b}$ -identifies  $f \in \mathcal{R}$  (written:  $f \in \mathbf{BLimEx}^{a,b}(\mathbf{M})$ )  $\iff (\forall x \geq \text{MinProg}^b(f)) (\exists i \mid \varphi_i^1 =^a f) [\mathbf{M}(x, f) \downarrow = i]$ .

(b)  $\mathbf{BLimEx}^{a,b} = \{\mathcal{C} \subseteq \mathcal{R} \mid (\exists \mathbf{M})[\mathcal{C} \subseteq \mathbf{BLimEx}^{a,b}(\mathbf{M})]\}$ .

**Definition 28** Let  $a, b \in N \cup \{*\}$ .

- (a)  $\mathbf{M ResBLimEx}^{a,b}$ -*identifies*  $f \in \mathcal{R}$  (written:  $f \in \mathbf{ResBLimEx}^{a,b}(\mathbf{M})$ )  
 $\iff (\exists i \mid \varphi_i^1 =^a f) (\forall x \geq \text{MinProg}^b(f)) [\mathbf{M}(x, f) \downarrow = i]$ .  
 (b)  $\mathbf{ResBLimEx}^{a,b} = \{\mathcal{C} \subseteq \mathcal{R} \mid (\exists \mathbf{M})[\mathcal{C} \subseteq \mathbf{ResBLimEx}^{a,b}(\mathbf{M})]\}$  .

**Theorem 29**  $\mathcal{R} \in \mathbf{ResBLimEx}^{0,0}$ .

PROOF. Consider the following function:

$$F(0) = 0. F(i) = \min(N - \{F(j) \mid j < i \wedge (\exists x)[\varphi_j(x) \downarrow \neq \varphi_i(x) \downarrow]\}).$$

For each  $i$  define  $G_i$  as follows.

Given  $i, x$ , let  $j = \min(\{k \mid F(k) = i \wedge \varphi_k(x) \downarrow\})$  and then set  $G_i(x) = \varphi_j(x)$ .

It is easy to see that both  $F$  and  $G_i$  are total and that  $\varphi^1$ -programs for them can be found algorithmically from  $i$ . Also for all  $i, j$  such that  $\varphi_i = \varphi_j \in \mathcal{R}$ :  $F(i) = F(j)$  and  $G_{F(i)} = \varphi_i$ . The theorem follows from the fact that  $\mathcal{R} \in \mathbf{Bex}^{0,0}$  [17, 22]. ■

Proof techniques used in [22] can be used to prove several more theorems regarding the relationships between the criteria of this section.

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