

# Tournaments in Computational Social Choice

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# Outline

## • Social choice theory

- How to choose a socially desirable outcome from a set of alternatives.
- Origins in mathematics, economics, and political science.
- **Tournaments** model scenarios in which decisions are made based on **pairwise comparisons**.
- Sports, elections, webpage ranking, biological interactions, ...

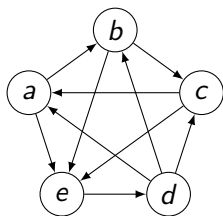
## • This tutorial

- **Part 1:** Tournament solutions (methods for choosing winners from a given tournament)
- **Part 2:** Single-elimination tournaments (setting up the bracket to help a certain player, bribery issues)
- Based partially on my **survey** published at IJCAI 2021.
- For work before 2016, see Chapters 3 and 19 in the **Handbook of Computational Social Choice** [Brandt et al. '16]

W. Suksompong. "Tournaments in computational social choice: Recent developments", IJCAI 2021  
F. Brandt, V. Conitzer, U. Endriss, J. Lang, A. D. Procaccia. "Handbook of Computational Social Choice", 2016

# Part 1: Tournament Solutions

# Tournaments



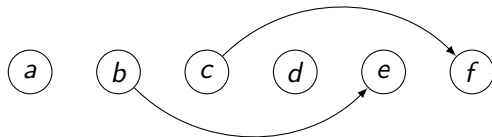
1:  $a \succ_1 b \succ_1 c \succ_1 e \succ_1 d$   
2:  $d \succ_2 c \succ_2 a \succ_2 b \succ_2 e$   
3:  $e \succ_3 d \succ_3 b \succ_3 c \succ_3 a$

**Tournament**  $T = (A, \succ)$ , where  $A$  is the set of **alternatives** and  $\succ$  is the **dominance relation**. In this example,  $A = \{a, b, c, d, e\}$  and  $a \succ b$ ,  $b \succ c$ ,  $d \succ b$ ,  $e \succ d$ , etc.

# Tournament Solutions

- A **tournament solution** is a method for choosing the “winners” of any tournament.
- **Copeland set (CO)**: Alternatives with the highest **outdegree**.
- **Top cycle (TC)**: Alternatives that can reach every other alternative via a directed path (which by definition has **length  $\leq n - 1$** ).
- **Uncovered set (UC)**: Alternatives that can reach every other alternative via a directed path of **length  $\leq 2$** .

## Example

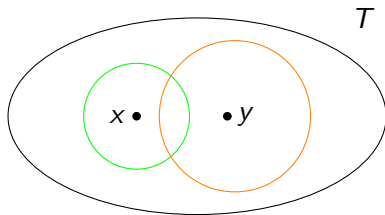


All omitted edges point from right to left.

- Outdegrees:
  - $a: 0, b: 2, c: 3, d: 3, e: 3, f: 4$
- $CO = \{f\}$
- $TC = \{b, c, d, e, f\}$
- $UC = \{c, d, e, f\}$
- No **Condorcet winner** (alternative that dominates all other alternatives), but a **Condorcet loser** ( $a$ )

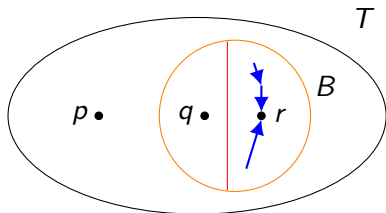
# Top Cycle

- Equivalent definition of the **top cycle**:
  - (Unique) smallest nonempty set  $B$  of alternatives such that all alternatives in  $B$  dominate all alternatives outside  $B$ .



# Top Cycle

- Equivalent definition of  $TC$ :
  - (Unique) smallest nonempty set  $B$  of alternatives such that all alternatives in  $B$  dominate all alternatives outside  $B$ .

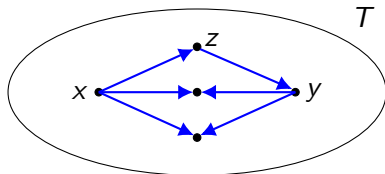


- Proof of equivalence:
  - $p \notin B$  cannot reach  $q \in B$ , so  $p$  does not belong to  $TC$ .
  - $q \in B$  can reach  $p \notin B$  directly.
  - If  $q \in B$  could not reach  $r \in B$ , all alternatives that could reach  $r$  would form a smaller subset in the definition of  $TC$ , contradiction.



# Uncovered Set

- **Covering relation:** An alternative  $x$  covers another alternative  $y$  if
  - $x$  dominates  $y$ .
  - For any  $z$ , if  $y$  dominates  $z$ , then  $x$  also dominates  $z$ .
- **Strong indicator** that  $x$  is better than  $y$ .
- Equivalent definition of  $UC$ :
  - The set of all uncovered alternatives.
  - **Proof:**  $x$  can reach  $y$  in  $\leq 2$  steps  $\iff y$  does not cover  $x$ .



- $CO \subseteq UC \subseteq TC$  always holds.

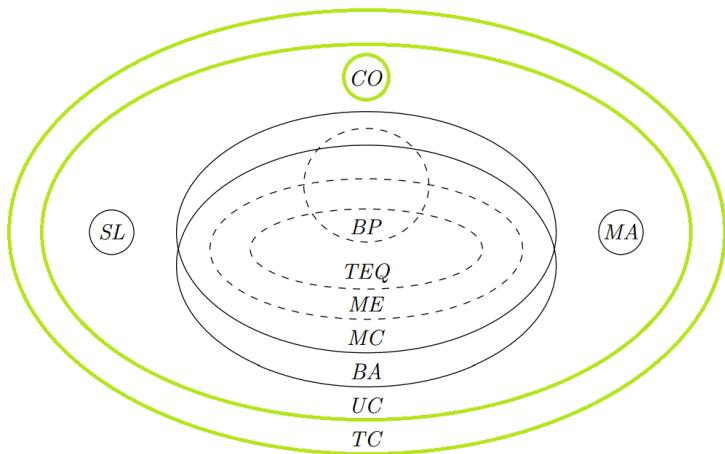
# Axioms

- **Condorcet-consistency:** If there is a Condorcet winner  $x$ , then  $x$  is uniquely chosen.
  - $CO$  ✓,  $TC$  ✓,  $UC$  ✓
- **Monotonicity:** If  $x$  is chosen, then it should remain chosen when it is strengthened against another alternative.
  - $CO$  ✓,  $TC$  ✓,  $UC$  ✓
- **Stability:** A set is chosen from two different sets of alternatives if and only if it is chosen from the union of these sets.
  - $CO$  ✗,  $TC$  ✓,  $UC$  ✗
- **Composition-consistency:** It chooses the “best” alternatives from the “best” components.
  - $CO$  ✗,  $TC$  ✗,  $UC$  ✓

# Computation

- The input has size  $O(n^2)$ , where  $n =$  number of alternatives.
- **Copeland set:** Compute all outdegrees in time  $O(n^2)$ .
- **Top cycle:**
  - Find the **strongly connected components** of the tournament, and output the unique one that dominates the rest.
  - Can be done in time  $O(n^2)$  by Tarjan's or Kosaraju's algorithm.
- **Uncovered set:**
  - Use the “can reach everything else in  $\leq 2$  steps” definition.
  - Multiply the **adjacency matrix** with itself to check reachability in 2 steps.
  - Can be done in  $O(n^{2.37})$  using matrix multiplication.

# Tournament Solutions



Tournament solution containment diagram [Brandt/Brill/Harrenstein '16]

# Tournament Solutions

- **Banks set ( $BA$ ):** Alternatives that appear as the maximal element of some maximal **transitive subtournament**.
  - **Transitive tournament:** The alternatives can be ordered as  $a_1, \dots, a_k$  so that  $a_i$  dominates  $a_j$  for all  $i < j$ .
- **Slater set ( $SL$ ):** Alternatives that are maximal elements in some **transitive tournament** that can be obtained by **inverting as few edges as possible**.
- **Bipartisan set ( $BP$ ):** Alternatives that are chosen with nonzero probability in the (unique) **Nash equilibrium** of the zero-sum game formed by the tournament matrix.
- **Markov set ( $MC$ ):** Alternatives that stay most often in the “winner-stays” **competition** corresponding to the tournament.

# Separation Index

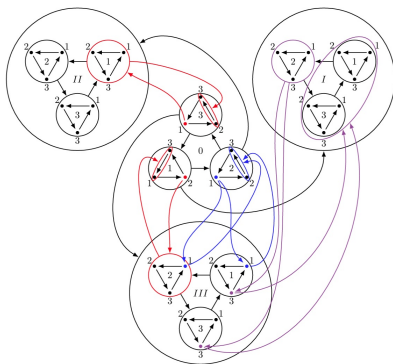
Can two given tournament solutions return **disjoint** sets of alternatives?  
 If so, what is the **smallest** tournament size for which this can happen?

$s \setminus d$	$TC$	$UC$	$UC^\infty$	$MC$	$BP$	$T\hat{C}$	$BA$	$ME$	$TEQ$	$CO$	$SL$	$MA$	$KW$
$TC$	—	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)
$UC$	$\infty$	—	5 (Fig. 2)	5 (Fig. 2)	5 (Fig. 2)	5 (Fig. 2)	7 <sup>a</sup> (Fig. 3)	5 (Fig. 2)	5 (Fig. 2)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)
$UC^\infty$	$\infty$	$\infty$	—	6	6	6	5 (Fig. 2)	6	6	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)
$MC$	$\infty$	$\infty$	$\infty$	—	6 <sup>b</sup>	6	5 (Fig. 2)	8 (Fig. 5)	8 <sup>c</sup> (Fig. 5)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)
$BP$	$\infty$	$\infty$	$\infty$	$\infty$	—	6	5 (Fig. 2)	6	6 <sup>b</sup>	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)
$T\hat{C}$	$\infty$	[11, $\infty$ ]	[11, $\infty$ ]	[11, $\infty$ ]	[11, $\infty$ ]	—	5 (Fig. 2)	6	6	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)
$BA$	$\infty$	$\infty$	$\infty$	$\infty$	[11, $\infty$ ]	[11, $\infty$ ]	—	5 (Fig. 2)	5 (Fig. 2)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)
$ME$	$\infty$	$\infty$	$\infty$ (Prop. 1)	$\infty$ (Prop. 1)	[11, $\infty$ ]	[11, $\infty$ ]	$\infty$ (Prop. 1)	—	8 <sup>d</sup> (Fig. 5)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)
$TEQ$	$\infty$	$\infty$	$\infty$	[11, $\infty$ ]	[11, $\infty$ ]	$\infty$ (Prop. 1)	$\infty$	[11, $\infty$ ]	—	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)
$CO$	$\infty$	$\infty$	8 (Fig. 6)	8 (Fig. 6)	8 (Fig. 6)	8 (Fig. 6)	13 <sup>e</sup> (Fig. 3)	8 (Fig. 6)	8 (Fig. 6)	—	4 (Fig. 1)	4 (Fig. 1)	4 (Fig. 1)
$SL$	$\infty$	$\infty$	8 <sup>b</sup>	8 <sup>b</sup>	8 <sup>b</sup>	8	[11, 14] <sup>f</sup>	8	8 <sup>b</sup>	6 <sup>g</sup>	—	5	5
$MA$	$\infty$	$\infty$	8 (Fig. 6)	8 (Fig. 6)	8 (Fig. 6)	8 (Fig. 6)	[11, 15] (Fig. 3)	8 (Fig. 6)	8 (Fig. 6)	8	6	—	6
$KW$	$\infty$	$\infty$	8	8	8	8	[11, 16] (Fig. 3)	8	8	7	6	7	—

Table of **separation indices** [Brandt/Dau/Seedig '15]

# Separation Index

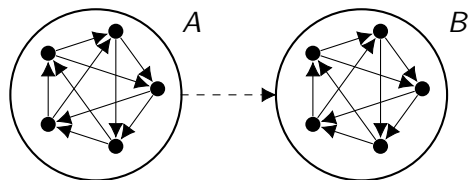
Whether  $BA$  and  $BP$  always overlap was resolved recently ...



- Brandt/Grundbacher [2023] showed that  $BA$  and  $BP$  are **disjoint** for this tournament of size 36.
- The separation index of  $BA$  and  $BP$  is between 11 and 36.

# Query Complexity

- Unlike computational complexity, the query complexity is **never** higher than  $\Theta(n^2)$ .
- However, it is  $\Theta(n^2)$  for several tournament solutions [Maiti/Dey '24]
- For *CO*, the algorithm may need to query **all** edges.
  - **Idea:** Consider when all alternatives have the same outdegree.
- **Proof for *TC*:** Consider two sets  $A, B$  with  $2k + 1$  alternatives each.

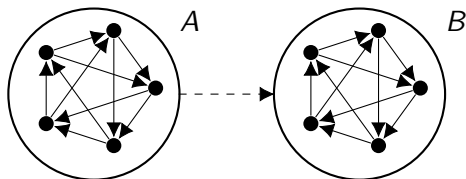


- In each set, every alternative can reach every other alternative.



# Query Complexity

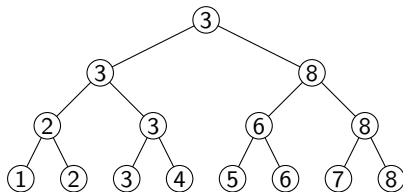
- **Proof for  $TC$ :** Consider two sets  $A, B$  with  $2k + 1$  alternatives each.



- If a query is within  $A$  or  $B$ , answer as in the figure.
- Else, answer that  $a \in A$  dominates  $b \in B$ .
- **Claim:** All edges between  $A$  and  $B$  must be queried.
  - If all  $a \in A$  dominate all  $b \in B$ , then  $TC \subseteq A$ .
  - If at least one  $b \in B$  dominates at least one  $a \in A$ , then  $TC \not\subseteq A$ .
- A similar idea works for  $UC$ .
- If  $TC$  is small, all of these tournament solutions can be computed with fewer queries.

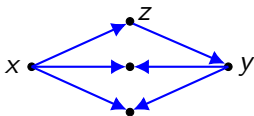
# Query Complexity

- **Bonus:** What about deciding whether there is a **Condorcet winner**?
- The query complexity is **exactly**  $2n - \lfloor \log_2 n \rfloor - 2$ .  
[Balasubramanian/Raman/Srinivasaragavan '97, Procaccia '08]
- **Algorithm:**
  - **Stage 1:** Let alternatives compete in a balanced single-elimination tournament. Suppose the winner is  $x$ .
  - **Stage 2:** Let  $x$  compete against the alternatives that it has not competed against in Stage 1. Output **Yes** if  $x$  beats all of them.



# Random Tournaments

- Several tournament solutions, including *TC* and *UC*, tend to select **all** alternatives in large random tournaments.
- Consider the **uniform random model**, where each edge is oriented in either direction with probability  $1/2$ , independently of other edges.
- **Proof for *UC*** (which implies one for *TC*):
  - The probability that  $x$  **cannot** reach  $y$  in two steps  $\leq (3/4)^{n-2}$



- By **union bound** over all pairs of alternatives, the probability that there exists such a pair  $x, y$  is at most  $n^2 \cdot (3/4)^{n-2} \rightarrow 0$  as  $n \rightarrow \infty$
- When no such pair exists, *UC* is the set of all alternatives.

# Condorcet Random Model

- Condorcet random model:
  - There exists an **underlying linear order of players**.
  - In general, a stronger player wins against a weaker player, but the weaker player **upsets** the stronger player with **uniform** probability  $p \leq 1/2$ .
- The uniform random model corresponds to the case  $p = 1/2$ .
- Łuczak/Ruciński/Gruszka [1996] showed that the top cycle selects all alternatives with high probability when  $p = \omega(1/n)$ , and this is tight.
- The Condorcet random model is still rather **unrealistic** for two important reasons.
  - In tournaments in the real world, the orientations of different edges are typically determined by **different probabilities**.
  - Not all probabilities of the orientation of the edges necessarily respect the ordering: **“bogey teams”**.

# Generalized Random Model

- Generalized random model:
  - The orientation of each edge is determined by probabilities within the range  $[p, 1 - p]$  for some parameter  $p$ , **independently** of other edges.
  - These probabilities are allowed to **vary across edges**.
- **Question:** What is the least  $p$  such that the tournament solution selects all alternatives with high probability?
- For  $TC$  we need  $p \in \omega(1/n)$ , while for  $UC$  we only need  $p \in \Omega(\sqrt{\log n/n})$  [Saile/S. '20]
- **$k$ -kings:** Alternatives that can reach every other alternative via a directed path of length  $\leq k$ .
- 2-kings (uncovered set)  $\subseteq$  3-kings  $\subseteq \dots \subseteq (n - 1)$ -kings (top cycle)

# Generalized Random Model

We determine how the probability threshold changes as we move from 2-kings to  $(n - 1)$ -kings [Manurangsi/S. '22]

$k$ -kings	Threshold $p$
$k = 2$	$\Omega(\sqrt{\log n/n})$
$3 \leq k \leq 4$	$\Omega(\log n/n)$
$k = 5$	$\Omega(\log \log n/n)$
$6 \leq k \leq n - 2$	$\omega(1/n)$
$k = n - 1$	$\omega(1/n)$

- The case  $6 \leq k \leq n - 2$  strengthens the previous result for  $k = n - 1$ .
- All bounds are **asymptotically tight**, except for  $k = 5$ , where the gap is between  $\Omega(\log \log n/n)$  and  $\omega(1/n)$ .
  - $\omega(1/n)$  is tight for the **Condorcet random model**.

## Generalized Random Model

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$k$ -kings	Threshold $p$
$k = 2$	$\Omega(\sqrt{\log n/n})$
$3 \leq k \leq 4$	$\Omega(\log n/n)$
$k = 5$	$\Omega(\log \log n/n)$
$6 \leq k \leq n - 2$	$\omega(1/n)$
$k = n - 1$	$\omega(1/n)$

- The uncovered set is **clearly more selective** than  $k$ -kings for  $k \geq 3$ .
- 3-kings and 4-kings are **slightly more selective** than higher-order kings.
- There is **virtually no difference** from  $k = 5$  all the way to  $k = n - 1$ .

# Margin of Victory

- How can we differentiate between the winning alternatives?
- Brill/Schmidt-Kraepelin/S. [2020] proposed using the margin of victory (MoV).
  - Similar concepts have been applied in voting, sports modeling, political districting, etc.
- $\text{MoV}(x) = \text{minimum}$  number of edges that need to be reversed so that  $x$  drops out of the winner set.
  - Can also define a weighted version with weighted edges.
  - The weights may represent the amount of bribe needed to change the match outcomes.
- The MoV of  $CO$ ,  $TC$ ,  $UC$  can be computed in polynomial time.



# Margin of Victory

Theorem [Brill/Schmidt-Kraepelin/S. '20]

The MoV for  $CO$ ,  $TC$ ,  $UC$  can be as high as  $\lfloor n/2 \rfloor$ , but no higher.

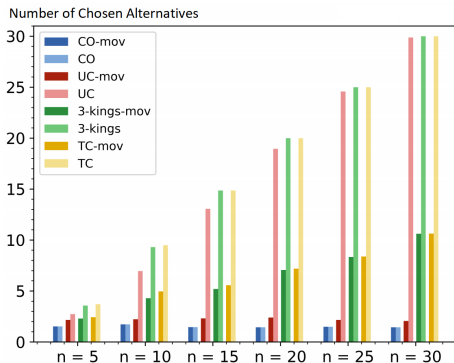
- **Upper bound:**  $\text{MoV}(x) \leq \lfloor n/2 \rfloor$ 
  - Take  $y \neq x$  with the highest outdegree.
  - $y$  has outdegree at least  $\lfloor \frac{n-1}{2} \rfloor$
  - Can make  $y$  a **Condorcet winner** using  $(n-1) - \lfloor \frac{n-1}{2} \rfloor = \lfloor n/2 \rfloor$  reversals.
- **Lower bound:** Possible that  $\text{MoV}(x) \geq \lfloor n/2 \rfloor$ 
  - Since  $CO \subseteq UC \subseteq TC$ , suffices to prove for  $CO$ .
  - Suppose  $x$  is a **Condorcet winner** (outdegree  $n-1$ ), while the maximum outdegree of  $y \neq x$  is  $\lfloor \frac{n-1}{2} \rfloor$
  - Each reversal decreases  $\text{outdeg}(x) - \text{outdeg}(y)$  by  $\leq 1$  (except the reversal between  $x$  and  $y$ , which decreases the difference by 2)

# Margin of Victory

- Brill et al. [2021] conducted an **axiomatic analysis** of the MoV.
- **Cover-consistency:**  $x$  covers  $y \Rightarrow \text{MoV}(x) \geq \text{MoV}(y)$ 
  - *CO* ✓, *TC* ✓, *UC* ✓
  - MoV is typically aligned with the **covering relation**.
- **Degree-consistency:**  $\text{outdeg}(x) > \text{outdeg}(y) \Rightarrow \text{MoV}(x) \geq \text{MoV}(y)$ 
  - *CO* ✓, *TC* ✓, *UC* ✗
- **Strong deg.-cons.:**  $\text{outdeg}(x) \geq \text{outdeg}(y) \Rightarrow \text{MoV}(x) \geq \text{MoV}(y)$ 
  - *CO* ✗, *TC* ✓, *UC* ✗
- MoV often provides information beyond simply the **outdegrees**.

# Margin of Victory

- Does MoV really distinguish among winners?
- Brill et al. [2021] ran experiments to answer this question.



- On average, the number of alternatives with maximum MoV is a **small fraction** of the winners. ✓

# Randomized Tournament Solutions

- **Randomized tournament solution:** Returns a **probability distribution** over the alternatives
- **Condorcet-consistency:** A Condorcet winner should receive probability 1
- **$k$ -strongly-non-manipulable- $\alpha$ :** No group of size  $k$  can increase their combined probability by more than  $\alpha$

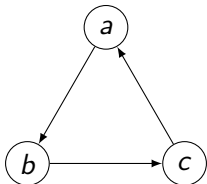
LONDON 2012: THE SUMMER OLYMPICS

Reports: Eight Badminton Players Tossed Out Of Olympics

The Disgrace of Gijon: West Germany and Austria's match of shame at 1982 World Cup

# Randomized Tournament Solutions

- **Observation:** No Condorcet-consistent randomized tournament solution can be 2-SNM- $\alpha$  for any  $\alpha < 1/3$ .



- For any randomized tournament solution, some pair of players receive a combined probability of **at most 2/3**.
- This pair of players can reverse their match outcome and **increase their probability to 1**.

# Randomized Tournament Solutions

Theorem [Schneider/Schwartzman/Weinberg '17]

A uniformly random SE bracket is 2-SNM-1/3.

- **Coupling argument:** For a bracket where a pair of players could gain by manipulating, tie it with **two other** brackets with no manipulation potential for this pair
- Many other rules are 2-SNM-1/2 or worse!
- **Randomized King-of-the-Hill:**
  - If there is a **Condorcet winner**, declare it as the winner.
  - Else, select a player **uniformly at random**, and remove it along with all players that it beats. Repeat the previous step.

Theorem [Schwartzman/Weinberg/Zlatin/Zuo '20]

Randomized King-of-the-Hill is 2-SNM-1/3 and **cover-consistent**.

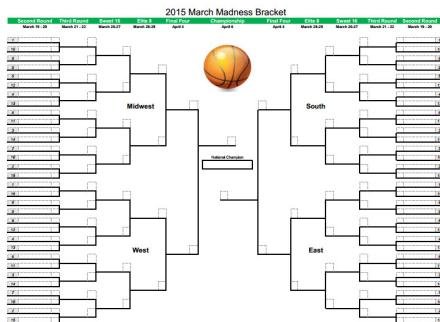
# Randomized Tournament Solutions

- Schwartzman/Weinberg/Zlatin/Zuo (2020):
  - Assume Condorcet-consistency
  - There exists a rule that is  $k$ -SNM- $2/3$  for all  $k$  ✓
  - No rule can be  $k$ -SNM- $1/2$  for large enough  $k$  ✗
- Ding/Weinberg (2021):
  - Outcomes of matches are randomized
  - Randomized Death Match: Pick two uniformly random players, eliminate the loser, and repeat
  - This rule and Random SE Bracket perform optimally for 2-SNM
- Dinev/Weinberg (2022):
  - RDM is 3-SNM- $31/60$ , and this is tight for this rule
- Dale/Fielding/Ramakrishnan/Sathyanarayanan/Weinberg (2022):
  - Multiple prizes according to full ranking

## Part 2: Single-Elimination Tournaments

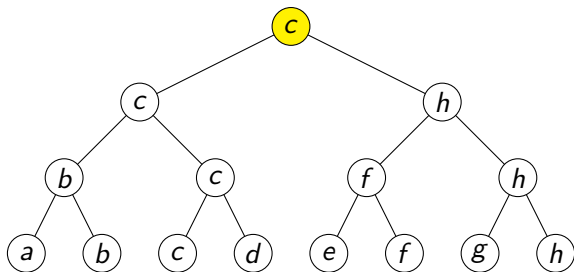


# Single-Elimination Tournaments



- An alternative is said to be a **single-elimination winner** if it wins a **balanced** single-elimination tournament under some bracket.

# Single-Elimination Tournaments



# Tournament Fixing Problem

The winner of a given SE tournament can depend significantly on the initial bracket!

The **Tournament Fixing Problem (TFP)**: Given

- A set of players
- Information for each pair of players  $(x, y)$  about whether  $x$  or  $y$  would win in a head-to-head matchup (“**tournament graph**”)
- A player of interest  $v$

Does there exist a bracket such that  $v$  wins the tournament?

# Complexity

Theorem [Aziz/Gaspers/Mackenzie/Mattei/Stursberg/Walsh '18]

TFP is NP-complete.

- Kim/Vassilevska Williams [2015]: The problem remains NP-complete even when the player of interest  $v$  is a king that beats  $n/4$  other players in the tournament graph.
- A king is a player who can reach any other player via at most 2 edges in the tournament graph.

# Algorithms

Theorem [Kim/Vassilevska Williams '15]

TFP can be solved in time  $O(2^n \text{poly}(n))$ .

- In fact, the algorithm can also **count** the number of brackets under which  $v$  wins the tournament.
- **Idea:**
  - Consider all possible ways of **partitioning** the set of players  $S$  into two subsets  $T$  and  $S \setminus T$  of equal size such that  $v \in T$ .
  - Iterate over all players  $w \in S \setminus T$  beaten by  $v$ .
  - Compute the number of winning brackets of  $v$  in  $T$  and  $w$  in  $S \setminus T$ .
  - Use a **fast subset convolution** subroutine of Björklund/Husfeldt/Kaski/Koivisto [2007].

# Algorithms

- Players can be partitioned into a **constant number of types**: Polynomial-time solvable [Aziz et al. '18]
- Let  $k$  be the size of a **smallest feedback arc set** (a set of edges whose removal leaves the tournament acyclic)
- Aziz et al. [2018]:  $n^{O(k)}$  via dynamic programming
- Ramanujan/Szeider [2017]: **Fixed-parameter tractable (FPT) algorithm** running in time  $2^{O(k^2 \log k)} n^{O(1)}$ 
  - Translate TFP into an algebraic system of equations and feeding it into an integer linear programming (ILP) solver
- Gupta et al. [2018]:  $2^{O(k \log k)} n^{O(1)}$  via combinatorial algorithm
- Zehavi [2023]: Same running time for **feedback vertex set**
- **Open direction**: Other parameters, e.g., directed treewidth?

# Tournament Value Maximization

- What if the organizers want to maximize the **profit/popularity** of the tournament?
- **Tournament Value Maximization** problem: Given
  - A set of players
  - Information for each pair of players  $(x, y)$  about whether  $x$  or  $y$  would win in a head-to-head matchup ("**tournament graph**"), and their **value** if they meet in a certain round

Find a bracket that maximizes the sum of values across all matches.

- The values are **round-oblivious** if the value of every pair is independent of the round in which they meet.

# Tournament Value Maximization

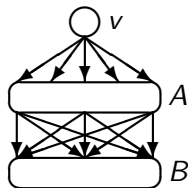
- This problem was studied by Chaudhary/Molter/Zehavi [2024]
- The problem is NP-hard (and APX-hard) when
  - All values are in  $\{0, 1\}$
  - There are 3 distinct values and the values are round-oblivious
- $1/\log n$  approximation based on maximum-weight matching
- If the total value of a tournament can be determined by the number of wins of each player, there exists an  $n^{O(\log n)}$  algorithm.
- If players can be classified as “popular” or “unpopular”, and the value of each match equals the popularity of the winning player, there is a linear-time greedy algorithm.



# Structural Results

Let  $v$  be a **king**.

Suppose  $v$  beats  $A$  and loses to  $B$ .



Theorem (King who beats half the players) [Vassilevska Williams '10]

If  $|A| \geq n/2$ , then  $v$  is a SE winner.

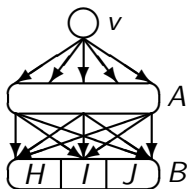
Theorem (Superking) [Vassilevska Williams '10]

If every player in  $B$  loses to at least  $\log_2 n$  players from  $A$ , then  $v$  is a SE winner.

# Structural Results

Let  $v$  be a **king**.

Suppose  $v$  beats  $A$  and loses to  $B$ .



**Theorem [Kim/S./Vassilevska Williams '17]**

Suppose that  $B$  is a disjoint union of three sets  $H, I, J$  such that

- 1  $|H| < |A|$
- 2 Each player in  $I$  loses to at least  $\log_2 n$  players in  $A$ .
- 3  $\text{outdeg}(j) \leq |A|$  for all  $j \in J$ .

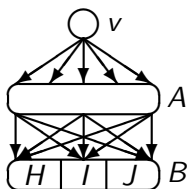
Then  $v$  is a SE winner.

- **Superking:**  $H = J = \emptyset$ , King who beats  $n/2$ :  $I = J = \emptyset$

# Structural Results

Let  $v$  be a **king**.

Suppose  $v$  beats  $A$  and loses to  $B$ .



**Theorem [Kim/S./Vassilevska Williams '17]**

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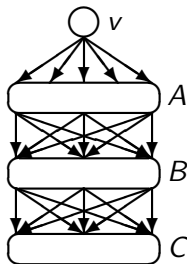
- 1  $|H| < |A|$
- 2 Each player in  $I$  loses to at least  $\log_2 n$  players in  $A$ .
- 3  $\text{outdeg}(j) \leq |A|$  for all  $j \in J$ .

Then  $v$  is a SE winner.

- **Idea:** Match players to maintain all invariants, and apply induction

## Structural Results

**3-king:** A player who can reach any other player via at most 3 edges in the tournament graph.



A 3-king might **not** be a SE winner even if it beats  $n - 3$  players!

[Kim/Vassilevska Williams '15]

# Structural Results

- Sufficient conditions for a 3-king to win a SE tournament.
- Kim/Vassilevska Williams [2015]:
  - 1  $|A| \geq n/3$
  - 2 Each  $b \in B$  beats no more players than  $v$  does
  - 3 There is a perfect matching from  $B$  onto  $C$  (in particular,  $|B| \geq |C|$ )
- Kim/S./Vassilevska Williams [2017]:
  - 1  $|A| \geq n/2$
  - 2 Every  $a \in A$  beats every  $b \in B$
  - 3  $|B| \geq |C|$
- Any two of these three conditions are insufficient.
- **Open direction:** To what extent can we weaken these conditions?

# Bribery

- **Bribery-TFP (BTFP)**: The organizers are allowed to bribe up to  $b$  players to lose a match they would otherwise win.
  - If  $b = 0$ , BTFP reduces to TFP, which is NP-hard.
  - If  $b = \log_2 n$ , the tournament can be trivially rigged.

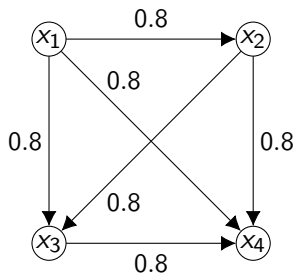
Theorem [Kim/Vassilevska Williams '15]

For any constant  $\varepsilon > 0$ , BTFP is NP-hard when  $b \leq (1 - \varepsilon) \log_2 n$ .

- **Gupta/Saurabh/Sridharan/Zehavi [2019]**:
  - Algorithm running in time  $2^{O(k^2 \log k)} n^{O(1)}$ , where  $k$  = size of a smallest feedback arc set
  - **Obfuscation operations** which can take in one bribery solution and output another solution in polynomial time
- **Russell/Walsh [2009], Mattei/Goldsmith/Klapper/Mundhenk [2015]**:
  - Bracket given in advance, but bribery is allowed

# Probabilistic Approaches

- Players have varying strengths, so not all tournament graphs are equally likely to occur.
- **Condorcet random model:**
  - There exists an **underlying linear order of players**.
  - In general, a stronger player beats a weaker player, but the weaker player **upsets** the stronger player with probability  $p \leq 1/2$ .



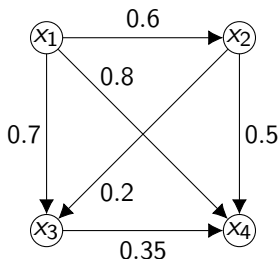
# Probabilistic Approaches

- **Observation:** If  $p \in o(\log n/n)$ , the weakest player is expected to win  $o(\log n)$  matches, which is insufficient to be a SE winner.
- If  $p \in \Omega(\sqrt{\log n/n})$ , with high probability, **every player** can win under some bracket [Vassilevska Williams '10]
- In fact,  $p \in \Theta(\log n/n)$  is the sharp threshold! [Kim/S./Vassilevska Williams '17]
- With **bribery**, for **any**  $p$ , it suffices to bribe the top  $O(\log n)$  players in the linear ordering to make any player win [Konicki/Vassilevska Williams '19]



# Probabilistic Approaches

- **Generalized random model:** For each pair  $i, j$ , player  $i$  beats player  $j$  with probability  $p_{i,j}$ , independently of other pairs
  - **No** linear ordering of players!



Theorem [Manurangsi/S. '22]

If  $p_{i,j} \in \Omega(\log n/n)$  for all  $i, j$ , then with high probability, **every player** can win a SE tournament under some bracket.

# Probabilistic Approaches

- **Probabilistic TFP (PTFP)**: Player  $i$  beats player  $j$  with probability  $q_{i,j}$ .
- The bracket must be chosen **before** this uncertainty is resolved.

Theorem [Chatterjee/Ibsen-Jensen/Tkadlec '16]

There exists a **deterministic** tournament graph such that:

- For one winning bracket of a player, the winning probability can drop by  $\Theta(\varepsilon n)$  through  $\varepsilon$ -perturbations.
  - For another winning bracket of this player, the drop is only  $\Theta(\varepsilon \log n)$ .
- 
- The **robustness** can vary significantly across brackets!
  - **Open question**: Suppose that the probability matrix is **monotonic**, i.e.,  $q_{i,j} \geq q_{i,j-1}$  for all  $i \leq j - 2$ . What is the complexity of PTFP?
  - If bribery is allowed, it is **NP-hard** [Konicki/Vassilevska Williams '19]

# Seeded Setting

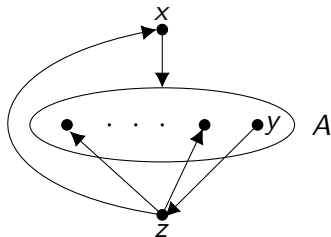
- Results on TFP so far assume that **any** bracket can be chosen.
- Many real-world tournaments assign **seeds** to players to prevent top players from meeting too early.
- For example, in **ATP tennis tournaments** with 32 players:
  - 8 players are assigned seeds 1, 2,  $\dots$ , 8.
  - The top 2 seeds cannot meet until the **final**.
  - The top 4 seeds cannot meet until the **semifinals**.
  - The top 8 seeds cannot meet until the **quarterfinals**.
- Let  $n$  = number of players,  $s$  = number of seeds

## Seeded Setting

Theorem [Manurangsi/S. '23]

For any  $n \geq 4$  and any  $s$ , a king who beats  $n - 2$  players may **not** be able to win a SE tournament.

**Proof:** Let  $x$  be our desired winner, and  $y$  and  $z$  be the top two seeds.



- Only  $y$  can beat  $z$ , so  $z$  makes the final in every bracket.
- Even if  $x$  makes the final,  $x$  will lose to  $z$ .

## Seeded Setting

- **Superking:** A king  $x$  such that for any  $y$  who beats  $x$ , there exist at least  $\log_2 n$  players who lose to  $x$  but beat  $y$ .
- Superkings can win a knockout tournament [Vassilevska Williams '10]
- This remains true if  $s = 2$ , but not if  $s \geq 4$  [Manurangsi/S. '23]
- **Ultraking:** A king  $x$  such that for any  $y$  who beats  $x$ , there exist at least  $n/2$  players who lose to  $x$  but beat  $y$ .

Theorem [Manurangsi/S. '23]

For any  $s$ , an ultraking can win a knockout tournament.

- This result would no longer hold if we replace  $n/2$  by  $n/2 - 1$  in the ultraking definition.

# SE winners & Tournament Solutions

The set of SE winners can also be viewed as a tournament solution.

Theorem [Kim/S./Vassilevska Williams '17]

Every player in the Copeland set is a SE winner.

- **Proof:** Since  $CO \subseteq UC$ , any player in  $CO$  is a king who beats at least  $n/2$  other players.

Theorem [Kim/S./Vassilevska Williams '17]

For any  $0 < r < 1$ , there exists a tournament such that

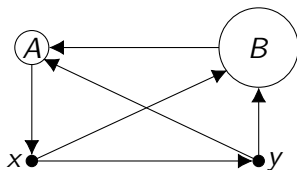
- 1 the proportion of kings who are SE winners is less than  $r$ , and
  - 2 the proportion of SE winners who are kings is also less than  $r$ .
- Kings and SE winners are **largely disjoint!**

# SE winners & Tournament Solutions

Theorem [Kim/S./Vassilevska Williams '17]

For any  $0 < r < 1$ , there exists a tournament such that

- 1 the proportion of kings who are SE winners is less than  $r$ , and
- 2 the proportion of SE winners who are kings is also less than  $r$ .



- The set of kings is  $A \cup \{x, y\}$ .
- If  $|B| \gg |A|$  and the players in  $B$  are of roughly equal strength, then **all** players in  $B$  are SE winners while **none** of the players in  $A$  is.

# SE winners & Tournament Solutions

- A SE winner may have a **low** Copeland score (= outdegree).

## Theorem [Hulett '19]

There exists a tournament graph such that the SE winner according to a **uniformly random bracket** has Copeland score  $n \cdot 2^{-\Theta(\sqrt{\log n})}$ .

- $n \cdot 2^{-\Theta(\sqrt{\log n})}$  is lower than, say,  $\Theta(n/\log n)$ .
- Choosing a uniformly random alternative already yields Copeland score  $(n - 1)/2$ .
- As an indicator of strength, the ability to win a SE tournament does **not** necessarily align with the Copeland score.



# Future Directions

- Study **other tournament formats**
  - Double-elimination [Stanton/Vassilevska Williams '13, Aziz et al. '18]
  - Round-robin
  - Stepladder/challenge-the-champ [Mattei/Goldsmith/Klapper/Mundhenk '15, Yang/Dimitrov '21, Chaudhary/Molter/Zehavi '24]
  - Swiss-system [Führlich/Cseh/Lenzner '24]
  - Multi-stage tournaments
  - Promotion/relegation features
- Perform **empirical studies** on real-world tournaments, e.g., using data from sports competitions [Russell/van Beek '11, Mattei/Walsh '16]
- Examine the effects of the tournament structure on **fairness** [Ryvkina/Ortmann '08, S. '16, Arlegi/Dimitrov '20]

**Let's make tournaments great again!**

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